



*Training Course:
FinTech Regulation & Supervisory Frameworks*

*27 September - 1 October 2026
Dubai (UAE)*

Training Course: FinTech Regulation & Supervisory Frameworks

Training Course code: FI236572 From: 27 September - 1 October 2026 Venue: Dubai (UAE) - Training Course Fees: 5200
€ Euro

Introduction

The FinTech Regulation & Supervisory Frameworks training program has been professionally designed by Global Horizon Training Center to equip financial regulators, central bank professionals, and supervisory authorities with the practical knowledge and regulatory tools required to regulate and supervise the rapidly evolving financial technology ecosystem. The program focuses on practical regulatory approaches, risk-based supervision, licensing, operational resilience, consumer protection, cybersecurity, and emerging technologies while balancing financial innovation with financial stability.

Training Objectives

By the end of this program, participants will be able to:

- Understand the global FinTech ecosystem and emerging financial technologies.
- Apply risk-based supervisory approaches for FinTech firms.
- Develop effective regulatory frameworks for digital financial services.
- Assess licensing applications for FinTech institutions.
- Evaluate governance, operational, technology, and cybersecurity risks.
- Strengthen consumer protection and market conduct supervision.
- Supervise digital banking, payment services, and electronic money institutions.
- Apply RegTech and SupTech tools to supervisory activities.
- Monitor emerging risks associated with AI, Open Banking, and digital finance.
- Develop future-ready supervisory strategies.

Course Methodology

- Interactive presentations
- Regulatory case studies
- Group discussions
- Practical supervisory exercises

- Risk assessment workshops
- International best-practice benchmarking
- Scenario-based learning
- Facilitated discussions

Organizational Impact

Upon completion of this program, organizations will benefit from:

- Improved regulatory effectiveness.
- Stronger FinTech supervisory capabilities.
- Better risk identification and mitigation.
- Enhanced consumer protection.
- More efficient licensing processes.
- Improved operational resilience oversight.
- Better alignment with international regulatory standards.
- Stronger support for responsible financial innovation.

Target Audience

- Central Bank Supervisors
- Banking Supervision Officers
- FinTech Regulatory Authorities
- Licensing & Authorization Officers
- Payment Systems Supervisors
- Financial Stability Departments
- Regulatory Policy Specialists
- AML/CFT Supervisors
- Consumer Protection Officers
- Compliance Professionals

- Risk Management Specialists
- Senior Financial Regulators

Course Outline

Day 1 - FinTech Regulatory Landscape

- Evolution of FinTech and digital financial services
- Global FinTech business models and market trends
- Regulatory objectives and supervisory responsibilities
- Activity-based vs. entity-based regulation
- Principles of proportionate and risk-based regulation
- International regulatory standards and best practices
- Roles of central banks and financial regulators
- Regulatory challenges in digital finance

Day 2 - Licensing & Supervision of FinTech Institutions

- Licensing frameworks for FinTech firms
- Digital banks, payment institutions, and e-money issuers
- Licensing requirements and authorization criteria
- Business model assessment
- Corporate governance expectations
- Fit & Proper assessments
- Capital adequacy and financial soundness
- Supervisory planning for newly licensed institutions

Day 3 - Technology Risk & Operational Resilience

- Risk-based supervision methodologies
- Technology risk management
- Cybersecurity governance

- Cloud computing and outsourcing risks
- Operational resilience frameworks
- Third-party service provider oversight
- Data governance and privacy considerations
- AI and algorithmic risk management

Day 4 - Consumer Protection & Financial Integrity

- Consumer protection frameworks
- Digital customer onboarding e-KYC
- Conduct supervision
- Complaint handling mechanisms
- Financial crime risks in FinTech
- AML/CFT supervision
- Fraud prevention and transaction monitoring
- Regulatory sandboxes and innovation hubs

Day 5 - Emerging Technologies & Future Supervisory Frameworks

- Open Banking and Open Finance supervision
- Embedded Finance regulatory considerations
- Artificial Intelligence in financial services
- Digital assets and virtual asset service providers VASPs
- Stablecoins and Central Bank Digital Currencies CBDCs
- SupTech and RegTech applications
- Supervisory data analytics and early warning indicators
- Developing future-ready FinTech supervisory frameworks
- Cross-border regulatory cooperation
- Building a sustainable innovation-friendly regulatory ecosystem

Registration form on the Training Course: FinTech Regulation & Supervisory Frameworks

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Complete & Mail or fax to Global Horizon Training Center (GHTC) at the address given below

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Payment Method

- Please find enclosed a cheque made payable to Global Horizon
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