



*Training Course:  
Governance, Risk & Compliance Professional*

*17 - 21 June 2024  
Madrid (Spain)  
Pestana CR7 Gran Vía*

## Training Course: Governance, Risk & Compliance Professional

Training Course code: MA234836 From: 17 - 21 June 2024 Venue: Madrid (Spain) - Pestana CR7 Gran Vía Training Course Fees: 5250 € Euro

### INTRODUCTION

This Global Horizon Governance, Risk & Compliance training program is designed to provide participants with the knowledge needed to effectively design and enhance integrated GRC activities across their company. It also enables you with the tools and techniques to understand your company obligations, mitigate risk and have a proper structure in place on how to manage it when an issue arises.

This intensive and highly practical training program develops tremendous value for your company and is an essential part of building ethical culture, establishing acceptable standards of behavior, and mitigating risk. The risk isn't something that should be avoided. Rather, it's an opportunity for organizational innovation, developing new competitive advantages, addressing exposures that can threaten an organization, and something that can be integrated into strategic planning.

This intensive and highly practical training program enables participants to develop core operational and managerial skills, focusing on best practices, and will provide executives at all levels with the skills in risk management, creating compliance culture, and strengthening relationships with key stakeholders.

This Governance, Risk & Compliance training program also covers several topics including issues and breach management, compliance project creation and management, the culture of compliance ethics, and obligations and legislation.

### TRAINING OBJECTIVES

By the end of this online training course, you will be able to:

- Understand the concepts of governance, risk management, and compliance.
- Understand the regulatory environment.
- The reason for being governance is essential for effective regulatory compliance risk management.
- Identify high-risk areas and compliance in your organization.
- Apply a Risk-based Approach.
- The role of the compliance officer and his team.
- Develop and implement governance, risk management, and compliance strategic plan.
- Understand, define, and enhance organizational culture as it relates to performance, risk, and compliance.
- Implement governance, risk management, and compliance processes that are effective and efficient.
- Using a risk-based audit approach.

## TRAINING METHODOLOGY

This training program will utilize a variety of proven learning techniques to ensure maximum understanding, comprehension, and retention of the information presented.

## ORGANISATIONAL IMPACT

This training program is of direct relevance to governance, risk management, and compliance and as a result, the organizations will benefit greatly from their employees participation.

The organization will gain from:

- More effective support for governance, risk management, and compliance team.
- Greater relevance and reliability of analyzing the regulatory bodies.
- More strategic thinking and focus on performance.
- More effective use of developing a successful GRC plan.
- Preparation of staff for increased responsibility.
- Supports risk and compliance officers through education.

## PERSONAL IMPACT

This training program will be of personal benefit to delegates by providing you with:

- A better understanding of the context and importance of the G governance, risk management, and compliance.
- The best practices in avoiding situations that may make their company legally liable.
- The tools to detect any violations of company policies.
- Greater awareness of industry practices, problems, and solutions.
- Increased understanding and assurance to complete tasks successfully.
- Increased self-confidence and motivation at work.
- Greater job satisfaction in providing quality support to management.
- Greater ability to supervise, develop and motivate colleagues.

## TARGET AUDIENCE

This GLOBAL HORIZON TRAINING CENTER training program is designed to deliver the knowledge and skills,

providing details of the latest policies and procedures to:

- Executives and Board Members.
- Internal Auditors.
- Compliance Officers / Managers and their Deputies.
- Governance Professionals.
- Risk Managers.
- GRC Professionals.
- Legal Professionals.
- Regulatory Representatives.
- Company Secretaries.
- IT Professionals dealing with governance, risk management, and compliance.
- Managers are responsible for governance, risk management, and compliance activities.

## Course Outline

### Compliance & Regulatory Environment

- Introduction Governance, Risk, and Compliance.
- An Overview of the Regulatory Environment
- The Objectives of Financial Services Regulation
- Key Regulations that Impact transnational Finance Institutions
- International Regulation
- Know Your Regulator
- Compliance Environment in Your Jurisdiction
- Compliance Function in Your Organization
- Compliance Risk Assessments
- Fraud Risk Assessment
- Organizational Risk Assessment
- Compliance Gap Analysis

## Risk

- Main Types of Risk Classes that Firms Face and Must Cope With
- Characteristics of the Main Risk Classes
- Fundamental Concepts of Each of the Risk Classes
- Understanding a Risk Management Approach
- Risk Management Approach
- The Need for Internal Controls
- Identify, Mitigate and Control Risks
- Approaches to Risk Assessment
- Develop Appropriate Strategy to Detect Desired and Undesirable Events

## Financial Crimes

- Money Laundering Laws
- Preventing Fraud
- Bribery and Corruption
- Market Abuse and Insider Dealing
- The Risk-based Approach to Money Laundering / Terrorist Financing
- Identifying High-risk Indicators - Understand what to do with the information and whom to escalate it to
- Suspicious Activity Reports SARs
- Sanctions

## Governance

- National & International Environment for Corporate Governance
- Understanding the External / Internal Context of Your Organization
- Dealing with Stakeholders
- Board of Directors Responsibilities
- Audit Committee

- Corporate Social Responsibility
- The Role of Public Disclosure
- Supervisors and Transparency
- Best Practices in Communication to the Right People

#### Governance, Risk Management, and Compliance

- Concepts of the Model of 'Governance', 'Risk', and 'Compliance'
- Principles of Governance, Risk, and Compliance
- Governance, Risk Management, and Compliance Roles and Responsibilities
- Governance, Risk Management, and Compliance Integrated Approaches and their Key Enablers
- Role and Responsibilities of Board and Committees
- Selective Best Practices
- Building an Effective Model of Governance, Risk, and Compliance in Your Organization

## Registration form on the Training Course: Governance, Risk & Compliance Professional

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Training Course Fees: 5250 € Euro

Complete & Mail or fax to Global Horizon Training Center (GHTC) at the address given below

### Delegate Information

Full Name (Mr / Ms / Dr / Eng): .....  
Position: .....  
Telephone / Mobile: .....  
Personal E-Mail: .....  
Official E-Mail: .....

### Company Information

Company Name: .....  
Address: .....  
City / Country: .....

### Person Responsible for Training and Development

Full Name (Mr / Ms / Dr / Eng): .....  
Position: .....  
Telephone / Mobile: .....  
Personal E-Mail: .....  
Official E-Mail: .....

### Payment Method

- ☐ Please find enclosed a cheque made payable to Global Horizon
- ☐ Please invoice me
- ☐ Please invoice my company

### Easy Ways To Register

Telephone:  
+201095004484 to  
provisionally reserve your  
place.

Fax your completed  
registration  
form to: +20233379764

E-mail to us :  
info@gh4t.com  
or training@gh4t.com

Complete & return the  
booking form with cheque  
to: Global Horizon  
3 Oudai street, Aldouki,  
Giza, Giza Governorate,  
Egypt.